## JC03 Rec'd PCT/PTO 1 1 MAY 2005

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/FO/US)

ATTORNEY'S DOCKET NUMBER TPIP023/WO US

CONCERNING A SUBMISSION UNDER 35	U.S. APPLICATION NO. (ILknown, see 37 CFR 1.5)									
INTERNATIONAL APPLICATION NO. INTERNATION	AL FILING DATE	PRIORITY DATE CLAIMED								
PCT/US03/37518 November 2	0, 2003	November 21,72002								
TITLE OF INVENTION	E OF INVENTION									
FREEZE-DRYING MICROSCOPE STAGE APPARATUS AND PROCESS OF USING THE SAME APPLICANT(S) FOR DO/EO/US										
• •	J. Richard Gyory, Javier Gonzalez-Zugasti, David Putnam									
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.										
This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.										
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.										
4. X The US has been elected (Article 31).	The US has been elected (Article 31).									
X A copy of the International Application as filed (35 U.S.C. 371(c)(2))										
a. is attached hereto (required only if not communic	a. is attached hereto (required only if not communicated by the International Bureau).									
b. has been communicated by the International Bur	b. has been communicated by the International Bureau.									
c. X is not required, as the application was filed in the	c. X is not required, as the application was filed in the United States Receiving Office (RO/US).									
6. An English language translation of the International Applica	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).									
a. Lu is attached hereto.										
b. L. has been previously submitted under 35 U.S.C.	154(d)(4).									
	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))									
a. Lare attached hereto (required only if not commu	•	tional Bureau).								
	b.  have been communicated by the International Bureau.									
	c. L have not been made; however, the time limit for making such amendments has NOT expired.									
	d.									
₩	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  An English language translation of the annexes of the International Preliminary Examination Report under PCT									
Article 36 (35 U.S.C. 371(c)(5)).	national Frommittely 2	Sammason report and since								
Items 11 to 20 below concern document(s) or information incl	uded:									
11. An Information Disclosure Statement under 37 CFR 1.97 a	nd 1.98.									
12. An assignment document for recording. A separate cover s	heet in compliance wi	th 37 CFR 3.28 and 3.31 is included.								
13. X A preliminary amendment.										
14. An Application Data Sheet under 37 CFR 1.76.										
15. A substitute specification.										
16. X A power of attorney and/or change of address letter.										
	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.									
	A second copy of the published International Application under 35 U.S.C. 154(d)(4).									
19. A second copy of the English language translation of the in	ternational application	n under 35 U.S.C. 154(d)(4).								

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## JC14 Rec'd PCT/PTO 11 MAY 2005

PTC-1390 (Rev. 02-2005)
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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.				ATTORNEY'S DOCKET NUMBER				
		TPIPO23/WO US						
The following fees have been submitted			CA	LCULATIONS	PTO USE ONLY			
21. Rasic national fee\$300			\$	300.00				
22. Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)				\$	200.00			
23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority					\$	100.00		
TOTAL OF 21, 22 and 23 =			\$	600.00				
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
Total Sheets	Extra Sheets	ra Sheets Number of each additional 50 or fraction thereof (round up to a whole number)		RATE	] .			
50- 100 =	0 /50 =			x \$250	\$			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).					\$			
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$			
Total claims		21 -20=	1	× \$ 50	\$	50.00	1	
Independent claims		2 -3=	0	x \$200	s	30.00	<del> </del>	
MULTIPLE DEPEN	DENT CLAIM(S	) (if applicable)		+ \$360	\$	<del></del>		
TOTAL OF ABOVE CALCULATIONS =			s	50.00	·			
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.			<u> </u>	30.00				
SUBTOTAL =			s	50.00				
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$		<u>.                                    </u>		
TOTAL NATIONAL FEE =			\$ 650.00					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$				
TOTAL FEES ENCLOSED =				\$	650.00	-		
				unt to be	\$			
					Amo	unt to be	\$	
a. A check in the amount of \$ to cover the above fees is enclosed						ged:		
a. A check in the amount of \$ to cover the above fees is enclosed.  b. X Please charge my Deposit Account No. 502626 in the amount of \$_650.00 to cover the above fees.								
A duplicate copy of this sheet is enclosed.								
Account No. 502626. A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.								
SEND ALL CORRESPONDENCE TO:								
TransForm Pharmaceuticals, Inc. SIGNATURE				6				
29 Hartwell Avenue Paul Bu			ırge	rgess				
Lexington, MA 02421 NAME								
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